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| TRANSMITTAL FORM | | | Application No. | 09/853,770 | | |
|--|-----------------------------------|--|--------------------------|---|--|--|
| | | | Filing Date | May 11, 2001 | | |
| (to be used for all correspondence after initial filing) | | | First Named Inventor | | | |
| | | | Art Unit | 2134 | | |
| · | | | Examiner Name | Ellen C. Tran | | |
| Total Number of I | Pages in This Submissio | on 5 | Attorney Docket Number | 96790P355 | | |
| | ENCLOSURES (check all that apply) | | | | | |
| Fee Transmittal | Form | Drawing(s) | | After Allowance Communication to Group | | |
| Fee Attack | hed | Licensing-related Papers | | Appeal Communication to Board of Appeals and Interferences | | |
| Amendment / Re | esponse | Petition | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | |
| After Fina Affidavits | l /declaration(s) | Petition to O Provisional | Convert a Application | Proprietary Information | | |
| Extension of Tim | e Request | Power of Attorney, Revocation Change of Correspondence Address | | Status Letter | | |
| Express Abandonment Request | | Terminal Disclaimer | | Other Enclosure(s) (please identify below): | | |
| Information Disclosure Statement | | Request for Refund | | return receipt postcard | | |
| PTO/SB/08 | | CD, Number of CD(s) | | | | |
| Certified Copy of Priority Document(s) | | Ē | | | | |
| Response to Missing Parts/ Incomplete Application | | Remarks | | | | |
| Basic | Filing Fee | remarks | | | | |
| Declaration/POA | | | | | | |
| Response Parts und 1.52 or 1.5 | e to Missing er 37 CFR 33 | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | |
| Firm or | Eric S. Hyman, Reg. No. 30,139 | | | | | |
| Individual name | vidual name BLAKELY, SOKOPOFF, 7 | | | MAN LLP | | |
| Signature | | | | | | |
| Date // // // 05 | | | | | | |
| | CERTIFI | CATE OF MAIL | ING/TRANSMISSION | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. | | | | | | |
| Typed or printed na | | | | | | |
| Signature | humu | 100/ | | Date 1505 | | |

Based on PTO/SB/21 (04-04) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 06/04/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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| S EEE TOANGMITTAL | Complete if Known | | |
|--|----------------------|---------------|--|
| FEE TRANSMITTAL | Application Number | 09/853,770 | |
| for FY 2005 | Filing Date | May 11, 2001 | |
| Patent fees are subject to annual revision. | First Named Inventor | | |
| Applicant claims small entity status. See 37 CFR 1.27. | Examiner Name | Ellen C. Tran | |
| | Art Unit | 2134 | |
| TOTAL AMOUNT OF PAYMENT (\$) | Attorney Docket No. | 96790P355 | |

| METHOD OF PAYMENT (check all that apply) | | | | | | | | |
|---|--------------|-------|--------|--|----------|--|--|--|
| Check Credit card Money Order None Other (please identify): | | | | | | | | |
| Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP | | | | | | | | |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. | | | | | | | | |
| FEE CALCU | LATION | | | | | | | |
| Large Er | ntity | Small | Entity | | | | | |
| FeeFe | е | Fee | Fee | Fee Description | Fee Paid | | | |
| Code(\$ |) | Code | (\$) | ree beschpilon | ree raiu | | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | | | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | | | | |
| 2053 | 130 | 2053 | 130 | Non-English specification | | | | |
| 1251 | 120 | 2251 | 60 | Extension for reply within first month | | | | |
| 1252 | 450 | 2252 | 225 | Extension for reply within second month | | | | |
| 1253 | 1,020 | 2253 | 510 | Extension for reply within third month | | | | |
| 1254 | 1,590 | 2254 | 795 | Extension for reply within fourth month | | | | |
| 1255 | 2,160 | 2255 | | Extension for reply within fifth month | | | | |
| 1401 | 500 | 2401 | 250 | Notice of Appeal | | | | |
| 1402 | 500 | 2402 | 250 | Filing a brief in support of an appeal | | | | |
| 1403 | 1,000 | 2403 | 500 | Request for oral hearing | | | | |
| 1451 | | 2451 | | Petition to institute a public use proceeding | | | | |
| 1460 | 130 | 2460 | 130 | Petitions to the Commissioner | | | | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | | | | |
| 1806 | 180 | 1806 | | Submission of Information Disclosure Stmt | | | | |
| 1809 | 790 | 1809 | | Filing a submission after final rejection (37 CFR § 1.129(a)) | | | | |
| 1810 | 790 | 2810 | | For each additional invention to be examined (37 CFR § 1.129(b)) | | | | |
| Other f | ا ee (spe | cify) | | | | | | |
| | (560 | ,, — | | SUBTOTAL (2) (\$ | | | | |
| | | | | (4) | | | | |

| SUBMITTED BY Complete (if applicable) | | | | | | olete (if applicable) | |
|---------------------------------------|---------------|-----|------|-----------------------------------|--------|-----------------------|----------------|
| Name (Print/Type) | Eric S. Hyman | | 2/ | Registration No. (Attorney/Agent) | 30,139 | Telephone | (310) 207-3800 |
| Signature | | Cia | 1707 | | | Date | 114/05 |

Based on PTO/SB/17 (12-04) as modified by Blakely, Solokoff, Taylor & Zafman (w/) 2/15/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1460



Atty. Docket No.: 96790.P355

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re the application of: |) |
|--|---|
| Satoshi Shigematsu et al. |) Examiner: Tran, Ellen C) |
| Serial No.: 09/853,770 |)) Art Unit: 2134 |
| Filed: May 11, 2001 |) |
| For: AUTHENTICATION TOKEN AND AUTHENTIC |) ATION SYSTEM_) |
| <u>RESPONSE</u> | TO OFFICE ACTION |
| Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Box No-Fee Amendment | |
| Dear Sir: | |
| hereby elects Group I, claims 1-50 and 83-9 | Action mailed December 15, 2004, Applicant 3, drawn to a biometric authentication token mechanism, classified in class 713, subclass 186 and |
| | Respectfully submitted, |
| Date:By: | BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN Eric S. Hyman Reg. No. 30,139 |
| 12400 Wilshire Boulevard Seventh Floor Los Angeles, California 90025 (310) 207-3800 | CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, |

ESH:kla

Alexandria, VA 22313-1450

Kumiko Alexander